

RECEIVED

MAY 13 2003

Handwritten signature/initials: #100pm

Under the Paperwork Reduction Act of 1995, no person shall be required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

| | |
|------------------------|-------------------|
| Application Number | 10/077,907 |
| Filing Date | February 20, 2002 |
| First Named Inventor | Tomohiro Chiba |
| Group Art Unit | 3743 |
| Examiner Name | L. Leo |
| Attorney Docket Number | 018842.1204 |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
 - i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
 - ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
 - iii. ☐ Other _____
- b. ☒ Enclosed
 - i. ☒ Amendment/Reply
 - ii. ☐ Affidavit(s)/Declaration(s)
 - iii. ☐ Information Disclosure Statement (IDS)
 - iv. ☐ Other _____

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. _____
 - i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) 05/07/2003 RUCDAF1 00000017 10077907
 - ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) 01 FC:1801 750.00 OP
 - iii. ☐ Other _____
- b. ☒ Check in the amount of \$ 750.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-------------------|------------------|-----------------------------------|---------|
| Name (Print/Type) | Timothy J. Chiba | Registration No. (Attorney/Agent) | 48,340 |
| Signature | | Date | 5/15/03 |

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

| | | | |
|-------------------|--|------|--|
| Name (Print/Type) | | Date | |
| Signature | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

Best Available Copy

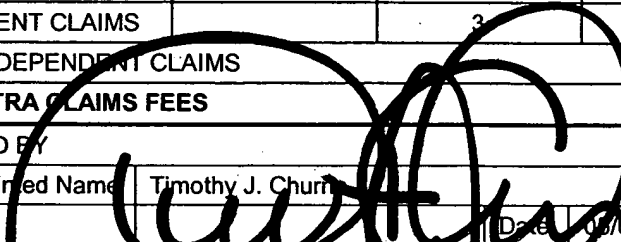


RECEIVED

MAY 13 2003

Best Available Copy

HPC 3720

| TECHNOLOGY CENTER R3700 | | Complete If Known | | | | |
|---|----------------|---|-------------------|--------------------------|--------------|---------------|
| FEE TRANSMITTAL [BOX PATENT APPLICATION] | | Application No. | 10/077,907 | | | |
| | | Filing Date | February 20, 2002 | | | |
| | | First Named Inventor | Tomohiro Chiba | | | |
| | | Examiner Name | L. Leo | | | |
| | | Group Art Unit | 3743 | | | |
| Total Amount Of Payment (\$) | 750.00 | Attorney Docket No. | 018842.1204 | | | |
| METHOD OF PAYMENT (check one) | | FEE CALCULATION (continued) | | | | |
| 1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to Deposit Account No. 02-0375 in the name of Baker Botts L.L.P. | | 3. ADDITIONAL FEES | | | | |
| <input type="checkbox"/> Charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account No. 02-0375 . | | Fee Description | | | | |
| 2. <input checked="" type="checkbox"/> Check Enclosed. The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to Deposit Account No. 02-0375 in the name of Baker Botts L.L.P., The Warner, Suite 1300, 1299 Pennsylvania Avenue, N.W., Washington, D.C. 20004-2400. | | Fee Paid | | | | |
| FEE CALCULATION | | <input type="checkbox"/> Surcharge - late filing fee or oath \$ | | | | |
| 1. BASIC FILING FEE <input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity | | <input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet \$ | | | | |
| | | <input type="checkbox"/> Extension for reply with _____ month \$ | | | | |
| | | <input type="checkbox"/> Notice of Appeal \$ | | | | |
| | | <input type="checkbox"/> Filing Brief in Support of Appeal \$ | | | | |
| | | <input type="checkbox"/> Request for Oral Hearing \$ | | | | |
| | | <input type="checkbox"/> Utility Issue Fee (or reissue) \$ | | | | |
| | | <input type="checkbox"/> Design Issue Fee \$ | | | | |
| | | <input type="checkbox"/> Plant Issue Fee \$ | | | | |
| | | <input type="checkbox"/> Petitions to Commissioner \$ | | | | |
| | | <input type="checkbox"/> Petition to Revive (unavoidable) \$ | | | | |
| | | <input type="checkbox"/> Petition to Revive (unintentional) \$ | | | | |
| | | <input type="checkbox"/> Petitions Related to Provisional Applications \$ | | | | |
| | | <input type="checkbox"/> Submission of Information Disclosure Statement \$ | | | | |
| | | <input type="checkbox"/> Filing Submission After Final Rejection \$ | | | | |
| | | <input type="checkbox"/> Recordation of Assignment Document \$ | | | | |
| | | <input type="checkbox"/> Filing Request for Reexamination \$ | | | | |
| | | <input checked="" type="checkbox"/> Other (specify) Request for Continued Examination (RCE) \$ 750.00 | | | | |
| 2. EXTRA CLAIMS FEES | | | | | | |
| CLAIMS AS AMENDED | | | | | | |
| For | Number Present | Highest Number Paid For | Extra | Rate | | Amount |
| | | | | Large Entity | Small Entity | |
| TOTAL CLAIMS | | 20 | | x \$ 18.00 | x \$ 9.00 | \$0.00 |
| INDEPENDENT CLAIMS | | 3 | | x \$ 84.00 | x \$ 42.00 | \$0.00 |
| MULTIPLE DEPENDENT CLAIMS | | | | \$ 280.00 | \$ 140.00 | \$0.00 |
| TOTAL EXTRA CLAIMS FEES | | | | | | \$0.00 |
| SUBMITTED BY | | | | Complete (if applicable) | | |
| Typed or Printed Name Timothy J. Churn | | | | Registration No. | | 48,340 |
| Signature  | | | | Deposit Account User ID | | 02-0375 |